

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom, Michael S. Art Unit : 3693
Spector and John L. Jacobs Examiner : Chandler, Sara M.
Serial No. : 10/001,900 Conf. No. : 8995
Filed : November 14, 2001
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

Mail Stop Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO ACTION OF JANUARY 18, 2007

Please amend the above-identified application as follows: